



Research report

Changes in emotional tone and instrumental timbre are reflected by the mismatch negativity

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Accepted 14 June 2004

Abstract

The present study examined whether or not the brain is capable of preattentively discriminate tones differing in emotional expression or instrumental timbre. In two event-related potential (ERP) experiments single tones (600 ms) were presented which had been rated as happy or sad in a pretest. In experiment 1, 12 non-musicians passively listened to tone series comprising a frequent (standard) single musical tone played by a violin in a certain pitch and with a certain emotional connotation (happy or sad). Among these standard tones deviant tones differing in emotional valence, either in instrumental timbre or in pitch were presented. All deviants generated mismatch negativity (MMN) responses. The MMN scalp topography was similar for all of the three deviants but latency was shorter for pitch deviants than for the other two conditions. The topography of the mismatch responses was indistinguishable. In a second experiment, subjects actively detected the deviant tones by button press. All detected deviants generated P3b waves at parietal leads. These results indicate that the brain is not only able to use simple physical differences such as pitch for rapid preattentive categorization but can also perform similar operations on the basis of more complex differences between tones of the same pitch such as instrumental timbre and the subtle timbral differences associated with different emotional expression. This rapid categorization may serve as a basis for the further fine-grained analysis of musical (and other) sounds with regard to their emotional content.

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Keywords: Music; Emotion; Brain; Tones; Deviant; Mismatch negativity; Pitch; Timbre

1. Introduction

In addition to their factual content, language and music often convey emotional information as well. In the speech domain, lesion studies indicate that the comprehension of the semantic content of an utterance and the understanding of affective prosody can be selectively impaired in the sense of a double dissociation [2]. In addition, it has been shown that affective prosody is independently processed from "syntactic prosody" conveying information about the type of utterance (e.g., question, declarative sentence, or exclamation [14], although the exact neuroanatomical structures

supporting the processing of affective and syntactic prosody are far from clear [8]. Animals, too, express emotions via distinct sounds [13,21,30] and the emotional state of a calling animal can be recognized by the specific acoustic structure of certain calls. The same acoustic features are used by different species to communicate emotions [34]. Studies in man aiming to link distinct vocal cues in spoken sentences to perceived emotions have revealed that the rating was mostly influenced by the mean level and the range of the fundamental frequency (F0) [36,41,49]. Low mean F0 was generally related to sadness and high mean F0 level to happiness. Increase of the F0 range was generally associated with high arousal.

In the music domain, a seminal series of experiments by Hevner [15–17] investigated which structural features contribute to the emotional expression conveyed by a piece of music. By systematically manipulating individual factors

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54 within the same musical pieces, she conclude that tempo
55 and mode had the largest effects on listeners' judgements,
56 followed by pitch level, harmony and rhythm [17]. In more
57 recent work, Juslin [22] summarized the musical features
58 supporting the impression of sadness (slow mean tempo,
59 legato articulation, small articulation variability, low sound
60 level, dull timbre, large timing variations, soft duration
61 contrasts, slow tone attacks, flat micro-intonation, slow
62 vibrato and final ritardando) and happiness (fast mean
63 tempo, small tempo variability, staccato articulation, large
64 articulation variability, fairly high sound level, little sound
65 level variability, bright timbre, fast tone attacks, small
66 timing variations, sharp duration contrasts and rising
67 micro-intonation).

68 Many of these features describe changes in the structure
69 of a musical sequence and it has been suggested that the
70 emotional information transported by such suprasegmental
71 features emerges as the result of a lifelong sociocultural
72 conventionalization [43]. Recent studies show that listeners
73 can accurately identify emotions in musical pieces from
74 different cultures [1], however. In contrast, it has been
75 suggested that the appraisal of segmental features [42], i.e.,
76 individual sounds or tones, is based on innate symbolic
77 representations which have emerged from evolutionarily
78 mechanisms for the evaluation of vocal expression [22,42].
79 For opera singers, Rapoport [38], based on spectrogram
80 analyses, has described seven factors that contribute to the
81 emotional expression of single tones:

- 83 (1) onset of phonation (voicing);
- 84 (2) vibrato;
- 85 (3) excitation of higher harmonic partials;
- 86 (4) transition—a gradual pitch increase from the onset to
87 the sustained stage;
- 88 (5) sforzando—an abrupt pitch increase at the very onset
89 of the tone;
- 90 (6) pitch change within the tone; and
- 91 (7) unit pulse (a feature produced by the vocal cords).

92
93 Many of these features can be mimicked by string and
94 wind instruments, while keyboard instruments are less
95 versatile with respect to the modulation of individual
96 tones.

97 The variations induced in single tones of the same pitch
98 fall within the realm of timbre. Timbre refers to the different
99 quality of sounds in the absence of differences in pitch,
100 loudness and duration. The classical view of timbre, dating
101 back to von Helmholtz [48], holds that different timbres
102 result from different distributions of amplitudes of the
103 harmonic components of a complex tone in a steady state.
104 More recent studies show that timbre also involves more
105 dynamic features of the sound [9,12], particularly with
106 regard to onset characteristics. Timbre has been mostly
107 studies with regard to the recognition of different musical
108 instruments [9–12,27] and multidimensional scaling techni-
109 ques have revealed that timbre is determined by variations

along three dimensions termed attack time, spectral cent- 110
roid, and spectral flux [27]. 111

Clearly, the timbral variations within a single instrument 112
that are used to transmit emotional expressions are different 113
and are likely smaller than those that are present between 114
instruments. The present study therefore asks whether the 115
brain mechanisms of detecting the timbral variation between 116
notes of different emotional expression played by the same 117
instrument are similar to or different from the variations 118
between instruments playing the same note with the same 119
emotional expression. 120

Given the importance of emotions for survival, we 121
assumed that the brain may accomplish a fast and probably 122
automatic check [40] on every incoming stimulus with 123
regard to the properties correlated with emotional expres- 124
sion. In the present investigation, we used musical stimuli as 125
a tool to demonstrate the existence of such a fast and 126
automatic checking procedure by employing a mismatch 127
negativity paradigm. 128

1.1. The brain's machinery for auditory change detection 129

In order to address the early, automatic stages of sound 130
evaluation, the mismatch negativity (MMN) is an ideal tool 131
[32,33,35]. The MMN is a component of the auditory event- 132
related potential (ERP) which is elicited during passive 133
listening by an infrequent change in a repetitive series of 134
sounds. It occurs in response to any stimulus which is 135
physically deviant (in frequency, duration or intensity) to the 136
standard tone. It has also been demonstrated that the MMN 137
is sensitive to changes in the spectral component of tonal 138
timbre [44]. Toiviainen et al. [46] have shown that the 139
amplitude of the MMN obtained for different timbre 140
deviants corresponded to the distance metric obtained in 141
an artificial neural network trained with a large set of 142
instrumental sounds. 143

The onset latency of the MMN varies according to the 144
nature of the stimulus deviance but for simple, physically 145
deviant stimuli lies at approximately 150 ms. Previous 146
studies have led to the assumption that the MMN reflects the 147
mismatch resulting from a comparison between the physical 148
features of the deviant and the standard stimulus [32]. This 149
implies the existence of a neural sensory–memory trace 150
representing the physical structure of the standard stimulus 151
against which incoming auditory information can be 152
compared. More recent studies (see Refs. [33,35] for a 153
review) have shown, however, that the MMN can also be 154
obtained to deviations within complex series of sounds, 155
suggesting that the memory trace is not only dependent on 156
the physical characteristics of the stimuli but can also 157
contain more abstract properties such as the order of stimuli. 158

The sensory analysis of the incoming stimulus as well as 159
its encoding appears to take place automatically because the 160
MMN typically occurs when the subjects do not attend to 161
the eliciting stimuli and are involved in a different task like 162
reading a book [32] or when they are sleeping [26]. 163

164 The P300 is also evoked by infrequent deviant stimuli,
 165 but in contrast to the MMN, it is triggered most effectively
 166 when the deviant events are attended and task-relevant
 167 [6,31,47]. It is assumed that the P300 is not a unitary
 168 component but can be broken down to several subcompo-
 169 nents, one of which is termed P3b. The P3b occurs in
 170 response to task-relevant deviant stimuli within a stream of
 171 standard stimuli, a sequence known as oddball paradigm.
 172 The P3b displays a parietal distribution, the onset latency
 173 varies between 300 and 600 ms. Latency and amplitude of
 174 the P3b depend on the difficulty of the categorisation task as
 175 well as on the task-relevance of the stimulus [20,24]. Thus,
 176 the P3b appears to reflect stimulus evaluation and stimulus
 177 categorisation processes. It has further been suggested that
 178 the underlying processes serve the updating of working
 179 memory [7] although not everyone agrees on this inter-
 180 pretation [47].

181 1.2. The current study

182 In the current study, two experiments were conducted to
 183 assess whether the emotional expression of a single tone
 184 allows for attentive as well as preattentive categorization.
 185 For that purpose, a standard violin tone of a certain
 186 emotional valence (e.g., happy) was presented repeatedly,
 187 infrequently interspersed with a tone that deviated from the
 188 standard according to its emotional expression (e.g., sad). In
 189 addition to this emotional deviant, a tone which differed
 190 from the standard tone in pitch level (pitch deviant) and a
 191 tone which was played by a flute instead of a violin and
 192 therefore differed from the standard stimulus according to
 193 instrumental timbre (instr. deviant) were introduced as
 194 control stimuli. In experiment 1 (Exp. 1), subjects watched
 195 a video and were asked to ignore the sounds (passive
 196 condition). In experiment 2 (Exp. 2), a modified oddball
 197 paradigm was conducted with subjects required to react to
 198 any of the three deviant stimulus types by pressing a button
 199 (active condition).

200 2. Methods

201 2.1. Subjects

202 Twelve non-musicians participated in the experiment (11
 203 women, 20 to 36 years of age, mean=26). All participants
 204 were right-handed, neurologically healthy and had normal
 205 hearing.

206 2.2. Stimuli

207 Two sets of four different tones were used. Each set
 208 consisted of one standard tone and three different deviant
 209 tones. All tones were played by a violinist and a flutist,
 210 digitally recorded, and edited to equal length (600 ms) and
 211 sound level (65 dB) using *cool edit*. These edited tones were

rated by 10 naive listeners using a 7-point scale (−3=very
 sad, 0=neutral, +3=very happy). Tones used for the exper-
 iment had a mean score of >1.7 for the happy and smaller
 than −1.7 for the sad conditions.

In set 1, the standard tone consisted in a violin /c/ played
 in a happy way. This frequent “happy standard” was
 combined with a rare violin /c/ played in a sad way (“sad
 deviant”), a rare flute /c/ played in a happy way (“instr.
 deviant”) and a happy violin /a/ (“pitch deviant”).

For set 2, the sad violin /c/ was used as a standard (“sad
 standard”) and combined with the following deviants: happy
 violin /c/ (“happy deviant”), sad flute /c/ (“instr. deviant”) and
 sad violin /a/ (“pitch deviant”). A spectrogram of the
 stimuli is shown in Fig. 1.

In the passive condition, two video films (“Les vacances
 de monsieur Hulot” and “Playtime”, both by Jacques Tati)
 were presented to the participants with the sound turned off.
 In order to minimize eye movements, a small video screen
 (18”) at a viewing distance of 130 cm was used.

231 2.3. Design

Each subject participated in two different experiments.
 The experiments were conducted on two different days
 separated by at least 1 week. Each experiment consisted
 of two consecutive blocks which differed with regard to
 the stimulus set used. The order of the two stimulus sets
 was kept stable for each participant between experiment 1
 and 2 but was counterbalanced between subjects. In
 experiment 1 (passive condition), participants watched a
 video while the stimulus tones were played in the
 background. No response to the tones was required. In
 experiment 2 (active condition), participants held a joy
 stick in one hand and pressed a button with their index
 finger in response to any deviant tone. The use of the
 right or the left hand was counterbalanced between all
 participants. The order of experiment 1 and 2 was also
 counterbalanced.

248 2.4. Procedure

Participants were tested individually while seated in a
 soundproof chamber in front of a computer screen which
 was replaced by a television set in the passive condition
 (Exp. 1).

In each condition, 2600 tones were played to the
 participants via loud speaker. A series of standard tones
 was presented, interrupted randomly by emotionally deviant,
 by instr. deviant, or pitch deviant stimuli. The
 probability of occurrence was 76.9% for the standard tone
 and 7.7% for each of the deviant tones. The interstimulus
 interval was randomised between 400 and 900 ms. No test
 trials were given but the first 20 trials of each block were
 excluded from the analysis.

Every 10 min, there was a short break and a longer 15-
 min-break was taken between the two blocks. Each

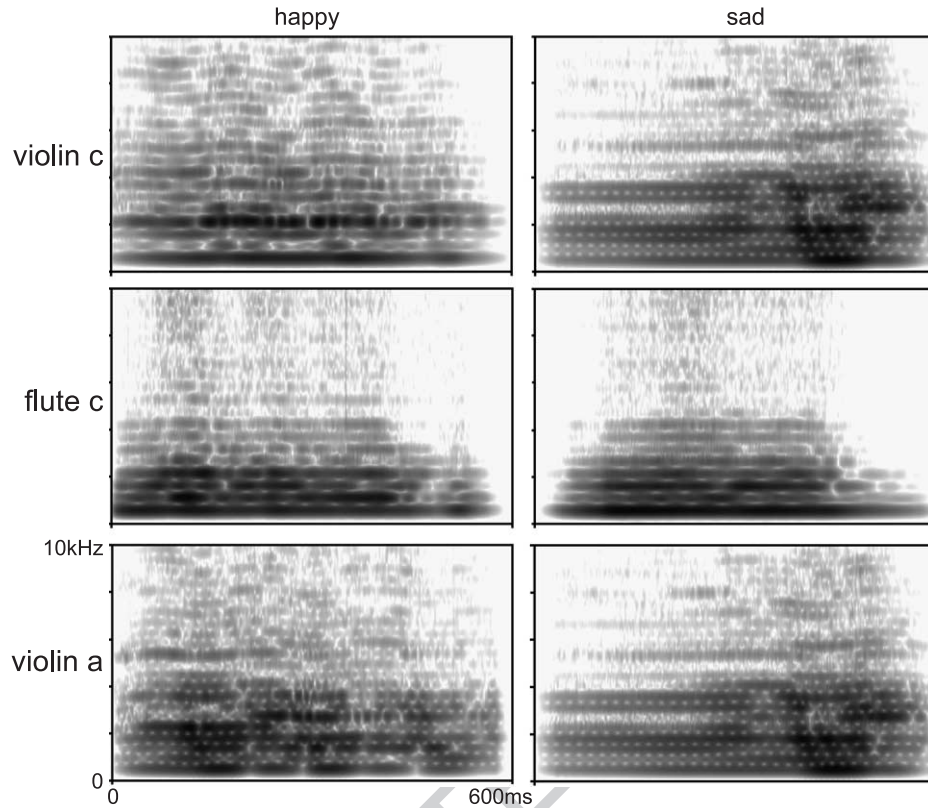


Fig. 1. Spectrograms of stimuli. Note that the legends of x- and y-axis pertain to all six diagrams.

264 experimental block lasted about 55 min. One entire experi-
265 ment lasted about 2.5 h.

266 In Exp. 1 (passive condition), participants were
267 instructed to watch the video carefully because they would
268 be asked about it later. Following each block, three
269 questions relating to the content of the film were asked by
270 the experimenter that had to be answered by the subject.

271 In Exp. 2 (active condition), participants were instructed
272 to press a button as fast as possible in response to a deviant
273 tone. During the experiment, the participants looked at a
274 fixation point in the centre of the computer screen.

275 In both experiments, participants were asked not to speak
276 and to blink or move their eyes as little as possible.

277 2.5. Apparatus and recording

278 In experiment 2, push-button response latencies were
279 measured from sound onset, with the timeout point (the
280 moment in time after which responses were registered as
281 missing) set at 400 ms poststimulus offset. Timeouts and
282 errors, i.e., wrong responses, were excluded from further
283 analyses. The EEG was recorded from 30 scalp sites using
284 tin electrodes mounted in an electrode cap with reference
285 electrodes placed at the left mastoid and the tip of the nose.
286 Signals were collected using the left mastoid electrode as a
287 reference and were re-referenced offline to the nose
288 electrode. Blinks and vertical eye movements were moni-
289 tored by a bipolar montage using an electrode placed on the

left lower orbital ridge and Fp1. Lateral eye movements 290
were monitored by a bipolar montage using two electrodes 291
placed on the right and left external canthus. The eye 292
movements were recorded in order to allow for later offline 293
rejection. Electrode impedance was kept below 5 k Ω for the 294
EEG and eye movement recording. The EEG was sampled 295
with a Brainlab system (Schwarzer, Munich). Signals were 296
amplified with a notch filter and digitized with 4-ms 297
resolution. Averages were obtained for 1024 ms epochs 298
including a 100-ms prestimulus baseline period. Trials 299
contaminated by eye movements or amplifier blocking 300
within the critical time window were rejected from 301
averaging by a computer program using individualised 302
rejection criteria. On average, 11 % of the trials were 303
excluded from further analysis. 304

ERPs were quantified by mean amplitude and peak 305
latency measures using the mean voltage of the 100-ms 306
period preceding the onset of the stimulus as a reference. 307
Time windows and electrode sites are specified at the 308
appropriate places of the result section. 309

Topographical distributions of the ERP effects were 310
compared by ANOVA designs, with condition (emotion, 311
timbre, pitch) and electrode site (28 levels) as factors. 312
Before computing the statistics, the amplitudes were vector 313
normalised according to the method described by McCarthy 314
and Wood [28]. 315

The Huynh–Feldt epsilon correction [18] was used to 316
correct for violations of the sphericity assumption. Reported 317

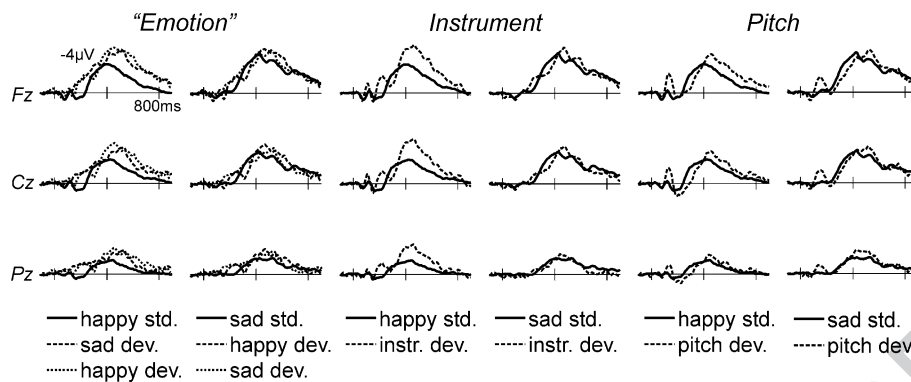


Fig. 2. Grand average ERPs from the passive experiment for three midline electrodes. This experiment was carried out in two versions with either a happy or a sad violin /c/ used as a standard stimulus. Therefore, two columns are presented for each condition (emotion, instrument, pitch) showing the standard and the respective deviant. In the emotion condition, in addition to the deviant differing emotionally from the standard (e.g., rare sad violin /c/ for happy violin /c/ standard), the deviant from the other version (physically identical to the standard stimulus) is presented as well in the same figure. The pitch condition shows a typical phasic MMN with a latency of 140 ms, while the emotion and timbre deviants were associated with a later mismatch response. All three conditions also showed an extended negativity to the deviant stimuli approximately between 400 and 700 ms.

318 are the original degrees of freedom and the corrected p -
319 values.

320 3. Results

321 3.1. Passive condition

322 Fig. 2, left, shows the grand average waveforms for all
323 three deviant types at three scalp positions (Fz, Cz, Pz).
324 Note that the results from the two blocks, using the happy
325 and the sad violin tone as standard stimuli respectively, are
326 given in separate columns. The waveforms show an initial
327 small negative deflection (N1) at around 100 ms. This is
328 followed by a long-duration negative component with a

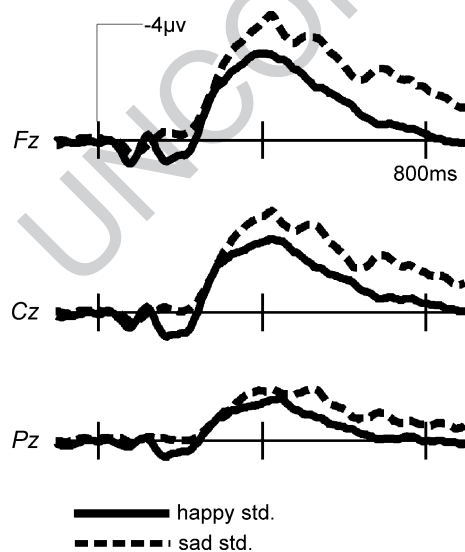


Fig. 3. Comparison of the two types of standard stimuli, violin happy /c/ and violin sad /c/, used in the two blocks of the passive task. The sad stimuli are associated with a higher amplitude tonic negativity (see Footnote 1).

frontal maximum and a peak around 400 to 500 ms (Fig. 329
3).¹ The current design allows two different ways to 330
compare emotional deviants. Firstly, deviants and standards 331
collected in the same experimental blocks can be compared. 332
These stimulus classes are emotionally as well as physically 333
different. Secondly, deviants and standards can be compared 334
across blocks such that the same physical stimulus serves as 335
standard and deviant. Regardless of the comparison (Fig. 2, 336
columns 1 and 2), emotional deviants elicited a more 337
negative waveform in the 150–300 ms latency range. Thus, 338
the mismatch response cannot be explained by the fact that 339
different tones elicited the different ERP waveforms. The 340
MMN evoked by instrument deviants is shown in Fig. 2, 341
columns 3 and 4. Finally, stimuli deviating in pitch evoked 342
an early MMN which was of similar size and morphology 343
for ‘happy’ and ‘sad’ stimuli (Fig. 2, columns 5 and 6). 344
Statistical analyses (Table 1) show significant effects for 345
pitch deviants in the 100–150 ms time window, whereas 346
effects for emotion and instrument appeared only later, 347
regardless of emotionally deviant stimuli, were compared to 348
the physically identical standard stimulus from the other 349
experimental block or to the standard stimulus of the same 350
block. 351

To isolate mismatch-related brain activity, deviant minus 352
standard difference waves were computed (Fig. 4). These 353

¹ This negativity is not seen in most MMN studies. One has to bear in mind, however, that in the current experiment, tones with duration of 600 ms were used. Such longer stimuli are known to give rise to a long-standing, tonic negativity [23]. Inspection of the ERPs to the happy and sad standard stimuli suggests that these are different, especially with regard to this long-standing negativity. In Fig. 3, these two ERPs are compared directly. Statistical analyses (successive 100 ms time-windows, Fz/Cz/Pz electrodes) indicated a significant difference between sad and happy tones primarily for the tonic negativity (100–200 ms, $F(1,11)=1.78$, n.s.; 200–300 ms, $F=3.42$, n.s.; 300–400 ms, $F=5.1$, $p<0.05$; 400–500 ms, $F=6.77$, $p=0.024$; 500–600 ms, $F=6.32$, $p=0.029$; 600–700 ms, $F=8.87$, $p=0.013$; 700–800 ms, $F=9.3$, $p=0.011$).

t1.1 Table 1

t1.2 Passive experiment; Comparison of standard vs. deviant stimuli; given are the *F*-values (*df*=1,11)

t1.3	Comparison	Standard	Deviant	100–150 ms	150–200 ms	200–250 ms	250–300 ms
t1.4	Emotion	Happy	Happy	0.10	2.72	22.75**	0.24
t1.5	Emotion	Happy	Sad	1.33	9.64 ⁺	11.28 ⁺	3.38
t1.6	Emotion	Sad	Sad	1.63	6.55 ⁺	7.47 ⁺	2.72
t1.7	Emotion	Sad	Happy	0.19	0.06	12.02*	0.24
t1.8	Instrumental	Happy	Happy	0.22	3.64	25.25**	0.25
t1.9	Instrumental	Sad	Sad	0.47	0.01	3.84	0.5
t1.10	Pitch	Happy	Happy	10.10*	2.72	22.75**	17.43**
t1.11	Pitch	Sad	Sad	4.97 ⁺	7.62 ⁺	0.13	1.1

t1.12 * *p*<0.01.

t1.13 ** *p*<0.001.

t1.14 ⁺ *p*<0.015.

354 difference waves showed an initial negative peak, identi- 384
 355 fied as the MMN, which was followed by a phasic 385
 356 positivity and finally, the tonic negativity mentioned 386
 357 above. The MMN for the different conditions appeared 387
 358 to differ markedly in latency. This was confirmed statisti- 388
 359 cally by determining the peak latency of the most negative
 360 peak in the 100 to 300 time window [Cz site, 389
 361 $F(2,22)=20.3$, $p<0.001$]. Post hoc tests revealed a sig-
 362 nificant difference between the peak latencies in the pitch
 363 and emotion conditions ($p<0.001$) and between pitch and
 364 instrument conditions ($p<0.001$). There was no difference
 365 between the emotion and instrument conditions, however
 366 ($p>0.2$).

367 While the latency of the negativity was very different for
 368 the different classes of deviant stimuli, the distribution of
 369 all three effects was virtually identical and typical for the
 370 MMN, as illustrated by spline-interpolated isovoltage maps
 371 (see Fig. 4, right panel). This was corroborated by an

analysis on the vector-normalized [28] mean amplitudes 384
 (taken in 40 ms time windows centred upon the peak 385
 latency of the negativity in each condition) which revealed 386
 no condition by electrode site [$F(27,297)=0.16$, n.s.] 387
 interaction. 388

3.2. Active condition 389

3.2.1. Behavioural results 390

The level of performance was nearly perfect for all 391
 deviant target stimuli (misses<1%) as well as for the 392
 standards (false alarms<1%). Differences in mean reaction 393
 times (see Table 2) between different types of deviants were 394
 only apparent when the standard tone was a happy tone 395
 [$F(2,22)=22.45$, $p<0.001$]. Post hoc comparison (Scheffé) 396
 revealed that in this condition, the mean reaction to the 397
 emotional deviant (sad violin tone) was slower than to the 398
 pitch deviant ($p<0.001$) and to the instr. deviant ($p<0.001$). 399

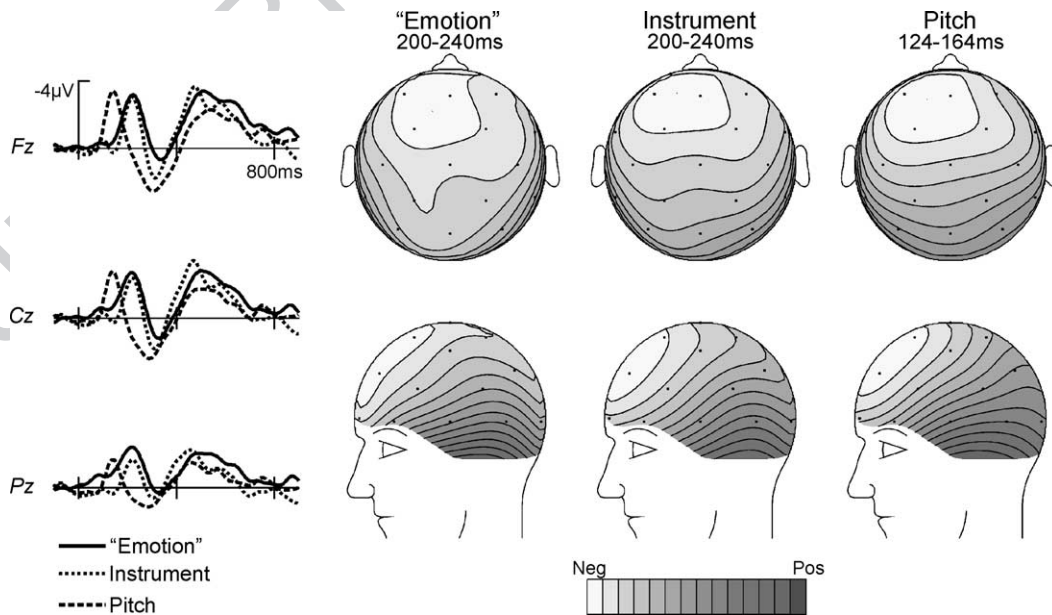


Fig. 4. Deviant minus standard difference waves. For these waveforms, data from both versions of the passive task (violin happy /c/ standard and violin sad /c/ standard) were averaged together. All three conditions show an initial negativity differing in latency. The scalp distribution of this negativity is shown on the right side using spline-interpolated isovoltage maps. These maps are based on the mean voltage in the 40 ms time window centered upon the peak latency of the negativity. The distribution of the negativities from the three conditions is virtually identical.

t2.1 Table 2
t2.2 Reaction times (ms) to deviant stimuli in the active experiment

t2.3		Block I standard happy			Block II standard sad		
t2.4		Emotion	Instrumental	Pitch	Emotion	Instrumental	Pitch
t2.5	Mean (N=9)	527	383	406	449	472	470
t2.6	S.D.	107	93	115	104	107	118

400 When the standard was a sad tone, no RT differences were
401 found [$F(2,22)=0.341$].

402 3.2.2. ERP data

403 Fig. 5 shows the ERPs to the target stimuli (Pz electrode
404 site) separately for the happy and the sad version of each
405 deviant. In the emotion condition, the P3b appears to peak
406 much earlier for the happy deviant than for the sad deviant.
407 In the instr. condition, a latency difference in the same
408 direction is suggested upon visual inspection.

409 The peak latency was quantified in the time window
410 between 300 and 550 ms for the Pz electrode site and
411 subjected to ANOVA with factors condition (emotion vs.
412 instr. vs. pitch) and deviant (sad vs. happy). A main effect of
413 condition was found [$F(2,22)=7.04, p<0.005$] reflecting the
414 fact that the P3b was longest in the emotion condition (460
415 ms, S.D.=85), followed by the instr. (402 ms, S.D.=68) and
416 pitch (383 ms, S.D.=62) conditions. Moreover, a main effect
417 of deviant was also found [$F(1,11)=8.7, p<0.015$] reflecting
418 the overall longer latency of sad compared to happy
419 deviants (369 ms, S.D.=81, vs. 441 ms, S.D.=81). The
420 significant condition by deviant interaction [$F(2,22)=8.02,$
421 $p<0.005$] indicated that the latency difference between sad
422 and happy deviants was most pronounced in the emotion
423 condition.

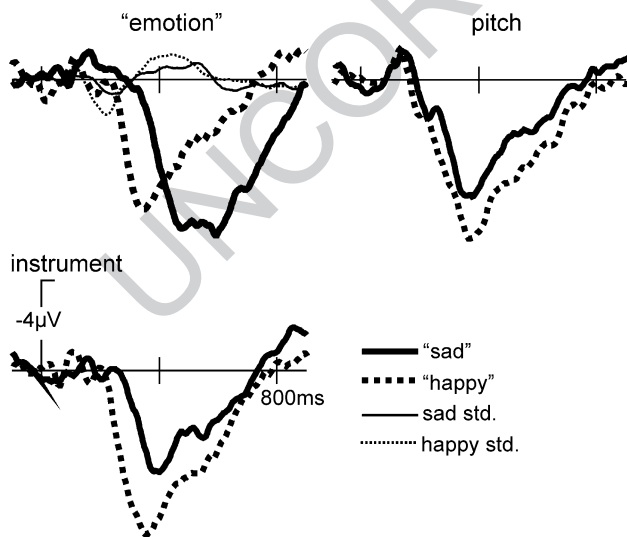


Fig. 5. ERPs from the active experiment for the emotion (top), timbre (middle), and pitch (bottom) conditions (Pz electrode site). In the emotion condition, the latency of the P3 component was dependent on the deviant. A sad violin /c/ target (among violin happy /c/ standards) was associated with a delayed P3 compared to a violin happy /c/ target (among violin sad /c/ standards).

4. Discussion

In this study, we used the high temporal resolution of electrophysiological measures to estimate the relative time courses of the brain's response to tones that differed from a standard tone by their emotional expression, by the timbre of the instrument used and by their pitch. The results demonstrate that affective deviants evoke a mismatch response even when subjects do not attend the auditory stimuli akin to the mismatch negativity that was seen for pitch and instrumental deviants. While the peak latency of the mismatch effects to the affective and instrumental deviants was delayed by about 80 ms, the scalp distribution of the three mismatch effects was virtually identical on visual inspection (Fig. 4) and was statistically indistinguishable. In addition, in the active condition, a P3b occurred in response to all three deviant types.

The question arises then, what aspect of the emotionally deviant stimuli triggers the mismatch response in the current study. The finding of a highly similar distribution of all three deviant stimuli suggests that all of these engage the same generators, which are known to reside in the supratemporal plane with additional contribution by frontal cortex [35,39,45]. This further indicates that it is not the emotional quality per se but rather the physical differences between the stimuli of different emotional quality that give rise to the mismatch response. While the finding reveals that tones which differ in physical structure evoke a mismatch negativity is trivial and has been shown repeatedly (see Refs. [32,33,35] for reviews), the current study shows that the subtle physical differences used to convey emotional expression in single musical notes are sufficient to trigger the brain's automatic mismatch response. This automatic detection early in the auditory processing stream at least allows the rapid classification of stimuli according to their emotional quality during further and more detailed auditory analysis that then could be restricted to the emotionally deviant stimulus. The present study does not allow us to determine whether the mismatch detection system indexed by the MMN component to emotional and instrumental deviants would be capable to extract physical invariants from a series of different tone stimuli that are characteristic for particular (standard) emotion. That complex regularities can be extracted from stimulus series has been demonstrated before [33], however. To answer this question, a study using many different happy tones as standards and a set of different sad tones as deviants would be needed.

479 Of relevance to this issue, Bostanov and Kotchoubey [4]
 480 compared brain responses to short joyful (“Yeeh!”, “Heey!”),
 481 “Wowh!”, “Oooh!”) exclamations to those to a single woeful
 482 (“Oooh!”) vocalization, while subjects were required to
 483 “listen attentively” without a further task. These authors
 484 found a negative component between 200 and 400 ms for
 485 the woeful stimulus compared to the joyful stimuli, which
 486 was remarkably similar to the ERP effect found for
 487 emotional and instrumental deviants in the passive experi-
 488 ment of the current study. In the Bostanov and Kotchoubey
 489 [4] study, all five exclamations occurred equally often,
 490 however, such that the woeful stimulus could be considered
 491 deviant only if the brain had grouped the four joyful
 492 exclamations together. This implies that the invariant
 493 physical attributes characterizing the majority of the stimuli
 494 as joyful in the experiment must have been extracted by the
 495 auditory system, thereby allowing the differential processing
 496 of the single woeful stimulus.

497 While we are unaware of any brain imaging study using
 498 musical tones of varying emotional quality, a PET study [37]
 499 requiring the active discrimination of a subtle timbral aspect
 500 of musical stimuli (dull vs. bright oboe) identified the right
 501 superior and middle frontal gyrus as candidate regions
 502 supporting selective attention to timbre. Timbre-specific
 503 activations of temporal brain regions might have been
 504 missed in this study, however, because a comparison
 505 between selective attention to timbre vs. attention to pitch
 506 had been employed. Both of these tasks might have engaged
 507 the auditory cortex to a similar extent. Likewise, when
 508 attention to a specific target word or attention to a specific
 509 emotional tone was compared in a verbal dichotic listening
 510 task, no fMRI activation differences were found in the
 511 planum temporale and superior temporal sulcus [19].

512 A more recent fMRI study [29] comparing the brain
 513 responses to melodies played with two synthetic instrumen-
 514 tal timbres revealed activation differences in the posterior
 515 Heschl’s gyrus and superior temporal sulcus, i.e., areas that
 516 are involved in the initial analysis of incoming sounds.
 517 Importantly, in this study, the timbral difference was
 518 irrelevant for the task of the subjects, supporting our view
 519 that timbral aspects of sounds are processed early and
 520 automatic in the auditory system.

521 Thus, the results of the current study, in conjunction with
 522 earlier work, demonstrate that the brain is in possession of a
 523 tool for the preattentive analysis of auditory input that
 524 allows for a fast and automatic categorization not only
 525 according to simple physical characteristics but also
 526 according to more complex acoustic features like instru-
 527 mental timbre and emotional expression. The speed of the
 528 detection indicates that the categorization happens automati-
 529 cally. Following Scherer [40], the result of this fast appraisal
 530 may serve as a basis for further evaluation processes, for
 531 example, the ultimate assignment of the correct emotion by
 532 secondary auditory and frontal areas [37] and the triggering
 533 of emotional and autonomous responses by limbic structures
 534 [3,5,25].

Acknowledgements

We thank Dana Heinze and Monique Lamers for their
 help during recording and analysis of the data. Supported by
 grants of the DFG to EA and TFM.

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